

| | | |
|---|--|-------------------------------|
| Form PTO-1449 (modified) | Attorney Docket No. A03P1048 | Serial No. Unassigned |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT | Applicant(s): James E. Brewer and Mark W. Kroll | |
| (Use several sheets if necessary) | Filing Date: Herewith | Group Art Unit: Unassigned |

U.S. PATENT DOCUMENTS

| Examiner Initials | Document No. | Date | Name | Class / Subclass | Filing Date |
|----------------------|--------------|------------|-------------------|---------------------|----------------|
| <i>AA</i> | 4,712,555 | 12/15/1987 | Thornander et al. | 128/419 | 03/27/1985 |
| <i>AA</i> | 4,788,980 | 12/06/1988 | Mann et al. | 128/419 | 07/18/1986 |
| <i>AA</i> | 4,940,052 | 07/10/1990 | Mann et al. | 128/419 | 01/25/1989 |
| <i>AA</i> | 4,944,298 | 07/31/1990 | Sholder | 128/419PG | 5/23/1989 |
| <i>AA</i> | 5,178,149 | 1/12/1993 | Imburgia et al. | 128/662.06 | 11/6/1989 |
| <i>AA</i> | 5,417,715 | 05/23/1995 | Noren et al. | 607/9 | 10/07/1993 |
| <i>AA</i> | 5,476,483 | 12/19/1995 | Bornzin et al. | 607/17 | 06/10/1994 |
| <i>AA</i> | 5,738,096 | 4/14/1998 | Ben-Haim | 128/653.1 | 2/1/1996 |
| <i>AA</i> | 6,009,349 | 12/28/1999 | Mouchawar et al. | 607/6 | 10/1/1997 |
| <i>AA</i> | 6,066,094 | 5/23/2000 | Ben-Haim | 600/437 | 1/9/1998 |
| <i>AA</i> | 6,077,236 | 6/20/2000 | Cunningham | 600/587 | |
| <i>AA</i> | 6,129,744 | 10/10/2000 | Boute | 607/25 | 12/04/1997 |
| <i>AA</i> | 6,278,894 B1 | 08/21/2001 | Salo et al. | 600/547 | 06/21/1999 |

Examiner *Alysa M. Altus* Date Considered *4/29/05*

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

| | | |
|---|--|-------------------------------|
| Form PTO-1449 (modified) | Attorney Docket No. A03P1048 | Serial No. Unassigned |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT | Applicant(s): James E. Brewer and Mark W. Kroll | |
| (Use several sheets if necessary) | Filing Date: Herewith | Group Art Unit: Unassigned |

| Examiner Initials | Document No. | Date | Name | Class / Subclass | Filing Date |
|----------------------|-----------------------|------------|-----------------|---------------------|----------------|
| AWA | 6,285,898 B1 | 9/4/2001 | Ben-Haim | 600/374 | 7/7/1998 |
| AWA | 6,336,903 B1 | 01/08/2002 | Bardy | 600/508 | 11/16/1999 |
| AWA | 6,421,565 B1 | 7/16/2002 | Hemmingsson | 607/17 | |
| AWA | US2002/ 0002389 A1 | 1/3/2002 | Bradley et al. | 607/8 | 1/14/2001 |
| AWA | US2002/ 0087089 A1 | 7/4/2002 | Ben-Haim | 600/509 | 1/11/2002 |
| AWA | US2002/ 0115939A1 | 08/22/2002 | Mulligan et al. | 600/510 | 12/28/2000 |
| | | | | | |
| | | | | | |

Examiner

Alyssa M. Alb

Date Considered

4/29/05

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

| | | |
|---|--|-------------------------------|
| Form PTO-1449 (modified) | Attorney Docket No. A03P1048 | Serial No. Unassigned |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT | Applicant(s): James E. Brewer and Mark W. Kroll | |
| (Use several sheets if necessary) | Filing Date: Herewith | Group Art Unit: Unassigned |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Document No. | Date | Country | Class / Subclass | Translation Yes/No |
|----------------------|-----------------------|----------------------|---------|--------------------------|-----------------------|
| | Int'l No.: | Int'l Publ. Date: | | Int'l Classification: | |
| | | | | | |
| <i>AW</i> | WO 99/07285 | 18.02.99 | WIPO | A61N 1/365 | |
| <i>AW</i> | WO 01/ 87410 A2 | 22.11.2001 | WIPO | A61N 1/00 | |
| <i>AW</i> | WO 01/ 87410 A3 | 22.11.2001 | WIPO | A61N 1/00 | |
| <i>AW</i> | WO 02/053228 | 11.07.2002 | WIPO | A61N 1/365 | |
| | | | | | |
| | | | | | |
| | | | | | |

Examiner

Alysa M. H.

Date Considered

4/29/05

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

| | | |
|---|---|-------------------------------|
| Form PTO-1449 (modified) | Attorney Docket No. A03P1048 | Serial No. Unassigned |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT | Applicant(s): James E. Brewer and Mark W. Kroll | |
| (Use several sheets if necessary) | Filing Date: Herewith | Group Art Unit: Unassigned |

PUBLICATIONS / OTHER ART

| Examiner Initials | Citation |
|-------------------|---|
| <i>AA</i> | Silva, Carlos Eduardo Suaide, "Study of the Myocardial Contraction and Relaxation Velocities through Doppler Tissue Imaging Echocardiography. A New Alternative in the Assessment of the Segmental Ventricular Function," Arq Bras Cardiol, Vol. 78, No. 2, 206-11 (2002) |
| <i>AA</i> | Zugck, Christian et al., "Risk Stratification in Middle-aged Patients with Congestive Heart Failure: Prospective Comparison of the Heart Failure Survival Score (HFSS) and a Simplified Two-Variable Model," The European Journal of Heart Failure (3) (2001), pp 577-585 |
| <i>AA</i> | Beringer, Jacqueline et al., "A Unifying Representation of Ventricular Volumetric Indexes," IEEE Transactions on Biomedical Engineering, Vol. 45, No. 3, March 1998, pp 365-371. |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

Examiner

William M. Allen

Date Considered

4/29/05

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).